

# Artemisinin–Second Career as Anticancer Drug?

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## ABSTRACT

Artemisinin represents a showcase example not only for the activity of medicinal herbs deriving from traditional Chinese medicine, but for phytotherapy in general. Its isolation from Sweet Wormwood (*qin hao*, *Artemisia annua* L.) represents the starting point for an unprecedented success story in the treatment of malaria worldwide. Beyond the therapeutic value against *Plasmodium* parasites, it turned out in recent years that the bioactivity of artemisinin is not restricted to malaria. We and others found that this sesquiterpenoid also exerts profound anticancer activity *in vitro* and *in vivo*. Artemisinin-type drugs exert multi-factorial cellular and molecular actions in cancer cells. Ferrous iron reacts with artemisinin, which leads to the formation of reactive oxygen species and ultimately to a plethora of anticancer effects of artemisinins, e.g. expression of antioxidant response genes, cell cycle arrest (G1 as well as G2 phase arrests), DNA damage that is repaired by base excision repair, homologous recombination and non-homologous end-joining, as well as different modes of cell death (intrinsic and extrinsic apoptosis, autophagy, necrosis, necroptosis, oncosis, and ferroptosis). Furthermore, artemisinins inhibit neoangiogenesis in tumors. The signaling of major transcription factors (NF- $\kappa$ B, MYC/MAX, AP-1, CREBP, mTOR etc.) and signaling pathways are affected by artemisinins (e.g. Wnt/ $\beta$ -catenin pathway, AMPK pathway, metastatic pathways, nitric oxide signaling, and others). Several case reports on the compassionate use of artemisinins as well as clinical Phase I/II pilot studies indicate the clinical activity of artemisinins in veterinary and human cancer patients. Larger scale of Phase II and III clinical studies are required now to further develop artemisinin-type compounds as novel anticancer drugs.

**Key words:** *Artemisia annua*, Artemisinin, Cancer, Chemotherapy, *Qin hao*, Malaria, Phytotherapy

**Abbreviations:** ABCB6, ATP-binding Cassette Transporter B6; ABCG2, ATP Binding Cassette Transporter G2; AIF, Apoptosis Inducing Factor; AKT, V-Akt Murine Thymoma Viral Oncogene Homologue; AMPK, AMP-Activated Protein Kinase; Ang-1, Angiotensin 1; ARE, Arteether; ARM, Artemether; ARS, Artemisinin; ART, Artesunate; ATF4, Activating Transcription Factor 4; Bak, Bcl2 Antagonist/Killer 1; Bax, Bcl2-Associated X Protein, Pro-Apoptotic BH3-Only Bcl-2 Family Member; Bcl-2, B-cell CLL/lymphoma 2; Bcl-xL, B-cell CLL/Lymphoma-x Long; BCR/ABL, Breakpoint Cluster Region/Abl Proto-Oncogene; Bid, BH3-Interacting Domain Death Agonist; Bim, Pro-Apoptotic Bcl2-Family Member; BSO, Buthionine Sulfoximine; C/EBP  $\beta$ , CCAAT/Enhancer Binding Protein  $\beta$ ; CAM, Chorioallantoic Membrane; CD, Cluster of Differentiation; CDC25B; CDK, Cyclin-Dependent Kinase; CHOP/DDIT, DNA Damage-Inducible Transcript; CIP1/WAF1, CDK-Interacting Protein 1/Wild-Type p53-Activated Fragment 1; c-JUN, Jun Proto-Oncogene; COX2, Cyclooxygenase 2; CREB, Cyclic ATP Responsive Element Binding Protein; DHA, Dihydroartemunate; DNA-PK, DNA-Dependent Protein Kinase; DR5, Death Receptor 5; E2F1, E2F Transcription Factor 1; EA, Ethacrynic Acid; EGFR, Epidermal Growth Factor Receptor; EMT, Epithelial to Mesenchymal Transition; EndoG, Endonuclease G; ERK, Extracellular Signal-Regulated Kinase; FAK, Focal Adhesion Kinase; FAS, Fas Cell Surface Death Receptor; Flt-1, Fms-Related Tyrosine Kinase 1; GADD153, Growth Arrest and DNA Damage-Inducible 153; GRP78, Glucose-Regulated Protein; GSK3  $\beta$ , Glycogen Synthase Kinase 3  $\beta$ ; HIF-1 $\alpha$ , Hypoxia-Inducible Factor-1  $\alpha$ ; HPV39, Human Papilloma Virus 39; HR, Homologous Repair; hTERT, Human Telomerase Reverse Transcriptase; hTR, Human Telomerase; HUVEC, Human Umbilical Vein Endothelial Cells; IFN, Interferon; IL, Interleukin;  $\kappa$ B $\beta$ , Inhibitor of Kappa B  $\beta$ ; JNK, c-Jun N-Terminal Kinase; KDR/flk-1, Kinase Insert Domain Receptor; LC3, Microtubule-Associated Protein 1 Light Chain 3; MAPK, Nitrogen-Activated Protein Kinase; MAX, MYC-Associated Factor X; Mcl-1, Myeloid Cell Leukemia 1; MDM2, Mouse Double Minute 2 Homologue; MEK, also known as MAPKK, Mitogen-Activated Protein Kinase Kinase; MMP, Matrix Metalloproteinase; MPNST, Malignant Peripheral Nerve Sheath Tumor; mTOR, Mammalian Target of Rapamycin; MYC, Avian Myelomatosis Viral Oncogene Homologue; NAC, N-Acetyl Cysteine; NF $\kappa$ B, Nuclear Factor Kappa B; NHEJ, Non-Homologous End-Joining; NO, Nitric Oxide; NOXA, Also Known As PMA/P1; Phorbol-12-Myristate-13-Acetate-Induced Protein 1; PARK7, Parkinson Disease Protein 7, Protein Deglycase DJ-1; PARP, Poly ADP Ribose Polymerase; PCNA, Proliferating Cell Nuclear Antigen; PGE2, Prostaglandin E2; PI3-K, Phosphoinositide-3 Kinase; PMA, Phorbol-12-Myristate-13-Acetate; RAF, Ras-Associated Factor Proto-Oncogene; RAS, Rat Sarcoma Viral Oncogene Homologue; RKIP, Raf-1 Kinase Inhibitor Protein; ROS, Reactive Oxygen Species, SMAC/DIABLO, IAP-Binding Mitochondrial Protein; TCTP, Translationally Controlled Tumor Protein; TF, Transferrin; TFRC, Transferrin Receptor 1 Gene; TGB1, Triple Gene Block Protein  $\beta$ , TGF-beta, Tumor Growth Factor  $\beta$ , TIMP, Tissue Inhibitor of Metalloproteinase, TNF- $\alpha$ , Tumor Necrosis Factor  $\alpha$ , TOPO2 A, DNA Topoisomerase 2  $\alpha$ , TRAIL, Tumor Necrosis Factor-Related Apoptosis-Inducing Ligand, Treg, Regulatory T Cells, VDAC2, Voltage-Dependent Anion Channel 2, VEGF, Vascular Endothelial Growth Factor, VEGFR, Vascular Endothelial Growth Factor Receptor, XIAP, X-Linked Inhibitor of Apoptosis, YY1, Yin Yang 1

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## INTRODUCTION

Artemisinin is a sesquiterpenoid from Sweet Wormwood (*Artemisia annua* L., Asteraceae), which is known in Chinese medicine as *qin hao*. It was first described in the “Handbook of Prescriptions for Emergency Treatment” (*Hou Bei Ji Fang*, 肘后备急方) by Hong Ge (葛洪, (281-340 B.C.) to treat fever and chills. Remarkably, it was still included in the “Compendium of Materia Medica” (*Ben Cao Gang Mu*, 本草纲目), by Li Shizhen (李时珍) more than one millennium later (1596). The fact that the medicinal use of this plant survived after such a long time may be already taken as a clue for the herb’s activity.

It was China’s former president, Mao Zedong, who started the secret research project No. 523 on May 23<sup>rd</sup> 1967 to identify a new malaria drug derived from Chinese medicine. The background was that during the Vietnam War a considerable portion of Vietnamese soldiers died from malaria. Therefore, the Vietnamese government asked China for a new anti-malaria drug. More than 500 scholars working in more than 60 laboratories screened the rich Chinese flora used in Chinese medicine. Among them, Youyou Tu investigated 640 out of 2000 traditional herbal mixtures. Although *A. annua* was the most active herb (No. 191, *qin hao*), the results were not reliably repeatable. Rather than standard procedures based on hot decoctions, the ancient texts mentioned that *A. annua* should be used as the pressed juice. Youyou Tu discovered that low temperature extractions of *qin hao* provide the most effective preparations against malaria parasites<sup>[1-7]</sup>. The alteration of the extraction protocol brought the breakthrough, which ultimately led to the identification of the chemical structure of artemisinin (*qin haosu*) in 1972.

Today, there is no doubt about that artemisinin (ARS) saved the lives of millions of people. ARS and its derivatives used as combination therapy together with other antimalarials belong to the standard treatments of malaria worldwide<sup>[8-14]</sup>.

During the past few years, the full potential of Youyou Tu’s discovery was recognized by the international scientific community. The conferment of the Lasker DeBakey Clinical Research Award and the Nobel Prize for Medicine or Physiology honors her lifetime achievements.<sup>15-18</sup> Youyou Tu and her team found early clues that the activity of ARS is not restricted to the treatment of malaria. Dihydroartemisinin inhibited the production of anti-ds-DNA antibodies, the secretion of TNF- $\alpha$ , and NF- $\kappa$ B signalling pathway. Thereby, dihydroartemisinin improved pathologic lesions associated with Lupus erythematosus-related nephritis *in vivo*<sup>[19-20]</sup>.

## MODE OF ACTION IN PLASMODIA

In the blood stream, *Plasmodium* trophozoites and schizonts reside inside the erythrocytes, where they feed on hemoglobin as the source for amino acids. Hemoglobin is toxic for the parasites. Heme-iron favors the generation of reactive oxygen species (ROS), which are detrimental to the parasites. Therefore, *Plasmodia* convert hemoglobin to the non-toxic hemozoin<sup>[21-22]</sup>. During hemoglobin digestion in the

parasites’ food vacuole, heme-iron is released, which facilitates the cleavage of artemisinin’s endoperoxide bridge by a Fe(II) Fenton-type reaction. The transfer of an oxygen atom from the endoperoxide group of artemisinins to a chelated iron ion generates a Fe(IV)=O species. The resulting free radical intermediates then kill the parasites<sup>[23]</sup>. As a result, hydroxyl radicals and superoxide anions are formed that damage the food vacuoles of *Plasmodia* and lead to auto-digestion<sup>[24-25]</sup>. Other mechanisms contributing to the inhibitory effects of ARS include

- the inhibition of redox cycling
- the inhibition of a glutathione S-transferase termed *Plasmodium falciparum* exported protein 1 (EXP1)
- the iron-mediated inhibition of *Plasmodium falciparum* PfATP6 orthologue, sarcoendoplasmic reticulum Ca<sup>2+</sup> ATPase (SERCA)
- the inhibition of digestive vacuole cysteine protease, as well as
- the alkylation of heme and several specific parasite proteins including translationally controlled tumor protein (TCTP)<sup>[26-31]</sup>.

DNA damage has not been observed - an effect we refer to later in the context of cancer cells<sup>[32]</sup>.

During the past years, it turned out that the bioactivity of ARS and its derivatives is much broader than initially thought. In addition to malaria, ARS-type drugs are also active against cancer *in vitro* and *in vivo*, certain viral infections (e.g. human cytomegalovirus, HCMV) infections, schistosomiasis *in vivo* and in patients, and even against plant tumors<sup>[33-38]</sup>.

In the present review, we give a comprehensive and timely overview on the activity of ARS and its derivatives towards cancer cells *in vitro* and *in vivo* and give a perspective outlook on their clinical activity in tumor patients by reporting the present preliminary data from cancer patients. We only refer to ARS and the first generation derivatives, artesunate (ART), artemether (ARM), arteether (ARE), as well as the first metabolite, dehydroartemisinin (DHA). Second generation derivatives as well as nanotherapeutic approaches involving artemisinins are not considered here. Furthermore, combination treatment approaches between artemisinins and established or novel investigational compounds have also not been considered. To obtain a comprising overview of the published literature, we screened the PubMed database with the following search term combinations: ‘artemisinin/artesunate’ and ‘cancer’ plus (1) ‘*in vivo*/xenograft/mice/rat’, (2) ‘cell cycle arrest’, (3) ‘reactive oxygen species/oxidative stress’, (4) ‘iron/transferrin’, (5) ‘DNA damage/DNA repair’, (5) ‘apoptosis/autophagy/necroptosis/ferroptosis’, (6) ‘angiogenesis/angiogenic’, and (7) ‘signaling/signal transduction’. The relevant literature has been considered until October 2015.

## INHIBITION OF TUMOR CELL GROWTH IN VITRO AND IN VIVO

In the mid 1990s, two Chinese and three Western groups reported the activity of ARS and its derivatives in cancer cells

*in vitro*<sup>[39-42]</sup>. After these initial papers on selected tumor lines, a wealth of papers appeared in subsequent years, showing that ART and not only its main derivatives, ART, ARM, but also many new synthetic or semi-synthetically generated derivatives are able to kill cell lines of many different tumor types. Although the activity of these compounds largely varies from cell line to cell lines, there is overwhelming evidence that ARS-type drugs efficiently inhibit cancer cells. It is important to mention that the endoperoxide bridge plays a critical role for bioactivity, since ARS-like compounds without this moiety do not display activity against *Plasmodia* or cancer cells<sup>[43-44]</sup>.

The plethora of data on the *in vitro* cytotoxicity of ARS and its derivatives towards cancer cell lines, including stem-like cancer cells (for review see literature<sup>[33,45-48]</sup>) raised the interest on their antitumor activity *in vivo*.<sup>[33,45-48]</sup> Indeed, a number of studies demonstrated that this class of compounds was able to inhibit transplantable tumors in mice<sup>[49-74]</sup> (Table 1)

The majority of *in vivo* experiments with artemisinins have been performed with human xenograft tumors transplanted to immunocompromised athymic nude mice. Although this might appear as somewhat artificial approach for the investigation of antitumor activity, this is a well established and widely distributed animal model in drug research, as it allows to investigate response of human tumors to investigational novel drugs in living organisms without testing in human patients. The disadvantage that athymic mice lack an intact immune response may be overcome by the use of transplantable syngeneic murine tumors.

Remarkably, ARS, ART, and DHA demonstrated anticancer activity in both murine syngeneic and human xenograft tumors towards a wide range of different tumor types (Table 1). Hence, the cytotoxic activity of artemisinins towards cancer cell lines in numerous *in vitro* studies can be translated to the clinical situation. Rather, there is convincing evidence for the anticancer activity of ARS-type compounds in living organisms. Interestingly, the anticancer activity has not only been demonstrated in tumors subcutaneously transplanted, which is the standard procedure, but also in orthotopically transplanted tumors, which much better reflect the clinical situation in cancer patients.

## INDUCTION OF OXIDATIVE STRESS

The cleavage of the endoperoxide bridge makes it probable that ROS are formed that contribute to the cytotoxic activity of this class of compounds. After unravelling the cytotoxic activity of ART towards cancer cells, we therefore tried to obtain mechanistic clues from mRNA microarray experiment, how artemisinins may reveal their cytotoxic activity. In a collaboration with the National Cancer Institute (NCI, USA), the log<sub>10</sub>IC<sub>50</sub> values to 55 cell lines derived from 8 different tumor types were determined. These results were correlated with the transcriptome-wide mRNA expressions in these cell lines and identified a number significant correlations between ART response and the expression of genes

involved in cellular antioxidant response, *i.e.* antioxidative protein 2 (AOP2), catalase (CAT), dihydrodiol dehydrogenase (DDH), diaphorases (NADH/NADPH) cytochrome b-5 reductase (DIA1, DIA4),  $\gamma$ -glutamylcysteine synthetase (GLCLR), glutaredoxin 2 (GLRX2), glutathione S-transferases (GSTA2, GSTM3, GSTM4, GSTT2, GSTZ1, MGST1, MGST3, MGST5), glutathione peroxidases (GPX1, GPX4), oxidative stress response 1 (OSM1) manganese-dependent superoxide dismutase (SOD1), as well as thioredoxin peroxidase and reductase (TXNPOX, TXNRD1)<sup>[75-78]</sup>. These correlations were exemplarily verified by testing cell lines transfected with some of these antioxidant genes. WEHI7.2 cells transfected with cDNAs for CAT, SOD1 or TXN and MSC-H13 cells transfected with GLCLR displayed resistance to ART compared to non-transfected or mock vector-transfected control cells<sup>[78-79]</sup>. Furthermore, small molecule inhibitors for  $\gamma$ -glutamylcysteine synthetase (*i.e.* buthionine sulfoximine, BSO) or glutathione S-transferases (*i.e.* ethacrynic acid, EA) were used to test the effect of these antioxidant proteins for ART response. Both BSO and EA sensitized MSC-H13 cells to ART, indicating that these antioxidant proteins confer ART resistance<sup>[78]</sup>.

In subsequent years, a large body of evidence has been brought up confirming our initial results on the role of oxidative stress induced by artemisinins. ROS formation by ARS, ART or DHA has been reported in cell lines derived from many different cancer types, including hematopoietic tumors (leukemia, multiple myeloma, Non-Hodgkin lymphoma), mesenchymal tumors (embryonal rhabdomyosarcoma) and epidermal tumors (cancers of lung, liver, pancreas, colorectum, and cervix as well as melanoma)<sup>[57,73,77-96]</sup> (Table 2). The causative role of ROS for cytotoxicity has been shown by prooxidants (vitamins C and D3, dexamethasone) increasing the cell death rate and by antioxidants and ROS scavengers (N-acetyl-cysteine (NAC), vitamin E) suppressing artemisinin-induced cell death (Table 2).

## ROLE OF IRON

Iron plays a crucial role for the cytotoxicity of artemisinins against cancer cells. Ferrous sulfate and holotransferrin increased DHA-induced cytotoxicity towards rat fibrosarcoma and breast carcinoma<sup>[97,98]</sup>. Ferrous iron in the form of iron(II)-glycine sulfate (Ferrosanol®) and holotransferrin increased the cytotoxicity of ARS, ART, and ART microencapsulated in maltosyl-beta-cyclodextrin towards CCRF-CEM leukemia and U373 astrocytoma cells as compared to drug application without iron<sup>[99]</sup>. Treatment of p53 wild-type TK6 and p53 mutated WTK1 lymphoblastic cells showed that mutational status of the tumor suppressor p53 did not influence sensitivity to ART. The effect of ferrous iron and transferrin was reversed by monoclonal antibody RVS10 against the transferrin receptor. This antibody competes with transferrin for binding to this receptor. CCRF-CEM and U373 cells expressed transferrin receptor in 95% and 48% of the cell population, respectively, whereas transferrin receptor

Table 1. Anticancer effect of artemisinins *in vivo*.

| Tumor type               | Cell line      | Model type            | Drug     | Effect  | Reference                 |
|--------------------------|----------------|-----------------------|----------|---|---------------------------|
| Hepatic carcinoma        | H22            | Syngeneic             | ART      | Tumor growth↓, Bcl-2↓, Bax↑, PCNA↓  | Wang et al., 2002         |
| Ovarian carcinoma        | HO-8910        | Xenograft             | ART      | Tumor growth↓, VEGF↓, KDR/flk-1↓  | Chen et al., 2004         |
| Kaposi sarcoma           | KS-IMM         | Xenograft             | ART      | Tumor growth↓, vacularization of matrigel plugs↓  | Dell'Eva et al., 2004     |
| Oral mucosa tumor        |                | Virally induced tumor | DHA      | Formation of canine oral papillomavirus-induced tumors↓, antibody development against L1 capsid protein                   | Disbrow et al., 2005      |
| Colorectal carcinoma     | HepG3, Hep3B   | Xenograft             | ART      | Tumor growth↓, liver metastasis↓, Wnt/β-catenin pathway↓  | Li et al., 2007           |
| Hepatoma                 |                | Xenograft             | ART, DHA | Tumor growth↓, cyclin D1↓, cyclin E↓, CDK2↓, CDK4↓, Cip1/p21↓, Kip/p27↑, caspase-3↑, Bax/Bcl-2 ratio↑, PARP1,MDM2 ↓       | Hou et al., 2008          |
| Pancreas carcinoma       | BxPC-3         | Xenograft             | DHA      | Tumor growth↓, PCNA↓, cyclin D1↓, WAF1/C1P1↑, Bax↑, Bcl-2↓, caspase-9↑,   | Chen et al., 2009a; 2009b |
| Pancreas carcinoma       |                | Xenograft             | ART      | Tumor growth↓   | Du et al., 2009           |
| Breast carcinoma         | MTLn3          | Syngeneic             | ARS-TF   | Tumor growth↓   | Lai et al., 2009          |
| Glioma                   | C6             | Syngeneic, orthotopic |          | Tumor growth↓, microvessel density↓   | Wu et al., 2009           |
| Breast cancer            | MDA-MB-231     | Xenograft             | ART      | Minimal inhibition due to resistance, NF-κB↑  | Bachmeier et al., 2011    |
| Breast cancer            |                | Syngeneic             | ART      | Tumor growth↓, depletion of splenic CD4 <sup>+</sup> , CD25 <sup>+</sup> , Foxp3 <sup>+</sup> and Treg cells IL4↑, IFN-γ↑ | Faisam et al., 2011       |
| Leukemia                 | U937           | Xenograft             | DHA      | Tumor growth↓, induction of apoptosis, ERK↓   | Gao et al., 2011          |
| Lung carcinoma           | A549           | Xenograft             | ART      | Tumor growth↓, induction of apoptosis, EGFR↓, AKT↓, ABCG2↓  | Ma et al., 2011           |
| Osteosarcoma             | HOS            | Xenograft             | ART      | Tumor growth↓, caspase-3↑   | Xu et al., 2011           |
| Breast cancer            | MCF7           | Xenograft             | ARS      | Tumor growth↓   | Tin et al., 2012          |
| Ovarian carcinoma        | HO8910PM       | Xenograft, orthotopic | DHA      | Tumor growth↓, metastasis↓, CD31↓, pFAK↓, MMP2↓   | Wu et al., 2012           |
| Hepatocellular carcinoma |                | Xenograft             | DHA      | Tumor growth↓   | Zhang et al., 2012        |
| Osteosarcoma             | SGC 7901       | Xenograft             | DHA      | Tumor growth↓, β-catenin↓, GSK3β↑   | Liu et al., 2013          |
| Gastric carcinoma        | BGC-823        | Xenograft             | DHA      | Tumor growth↓, metastasis↓  | Sun et al., 2013          |
| Gastric carcinoma        | DBA2/P815      | Xenograft             | ART      | Tumor growth↓   | Zhou et al., 2013         |
| Murine mastocytoma       | HepG2, BW7G3   | Syngeneic             | ARS      | Tumor growth↓   | Tilau et al., 2014        |
| Hepatocellular carcinoma | HeLa, HeLa/DHA | Xenograft             | DHA      | Inhibition of tumor growth more in sensitive HeLa than in DHA-resistant HeLa/ DHA, over expression of DJ-1 (PARK7)        | Vandewynckel et al., 2014 |
| Cervix carcinoma         |                | Xenograft             |          | Tumor growth↓   | Zhu et al., 2014          |
| Rat bladder carcinoma    |                | Syngeneic, orthotopic |          | Tumor growth↓   | Zuo et al., 2014          |

Table 2. Induction of oxidative stress by artemisinins.

| Tumor type                 | Cell line  | Drug       | Effect  | Reference                                      |
|----------------------------|--|------------|---|--|
| Diverse, Thymoma           | 55 NCI cell lines, WEHI7-2                         | ART        | Correlation of microarray-based antioxidant gene expression with IC <sub>50</sub> values. Transfection of antioxidant genes (thioredoxin, manganese superoxide dismutase, catalase) induced resistance to ART | Efferth et al., 2003; Efferth and Oesch, 2004  |
| Diverse Leukemia           | 50 NCI cell lines<br>Jurkat, CCRF-CEM, CEM/ADR5000 | ART<br>ART | Correlation of 12 glutathione-related genes with IC <sub>50</sub> values<br>ROS↑, ROS scavening by NAC conferred ART resistance   | Efferth and Volm, 2005<br>Efferth et al., 2007 |
| Non-Hodgkin lymphoma       | Ramos  | ART        | ROS↑  | Sieber et al., 2009                            |
| Pancreatic carcinoma       | Panc-1, BxPC-3, CFPAC-1                            | ART        | ROS↑  | Du et al., 2010                                |
| Lung adenocarcinoma        | ASTC-a-1   | DHA        | ROS↑  | Lu et al., 2010                                |
| Hepatocellular carcinoma   | HepG2  | DHA        | ROS↑  | Gao et al., 2011                               |
| Melanoma                   | A375   | DHA        | Expression of oxidative and genotoxic stress response genes   | Cabello et al., 2012                           |
| Pancreatic carcinoma       | BxPC-3, PANC-1                                     | DHA        | ROS↑, ROS-mediated upregulation of death receptor DR5   | Kong et al., 2012                              |
| Leukemia                   | K562   | DHA        | ROS↑  | Wang et al., 2012                              |
| Lung adenocarcinoma        | ASTC-a-1, A549                                     | ART        | ROS↑  | Zhou et al., 2012                              |
| Lung carcinoma             | A549   | ART        | ROS↑  | Gao et al., 2013                               |
| Lung carcinoma             | A549   | ART        | ROS↑, ROS scavening by NAC confers ART resistance   | Ganguli et al., 2014                           |
| Pancreatic carcinoma       |  | DHA        | ROS↑  | Jia et al., 2014                               |
| Colorectal carcinoma       | HCT-116  | DHA        | ROS↑  | Lu et al., 2014                                |
| Pancreatic carcinoma       | RIN  | ARS        | ROS↑  | Noori et al., 2014                             |
| Multiple myeloma           |  | ART        | ROS↑  | Papanikolaou et al., 2014                      |
| Cervical carcinoma         | HeLa, HeLa/DHA                                     | DHA        | DJ-1 conferred DHA resistance by ROS removal  | Zhu et al., 2014                               |
| Embryonal rhabdomyosarcoma | ERMS   | ART        | ROS↑, ROS-dependent expression of miR-133a and miR-206  | Benefico et al., 2015                          |
| Leukemia                   | Molt-4   | DHA        | Prooxidants increased cell death (vitamin C, vitamin D3, dexamethasone, H <sub>2</sub> O <sub>2</sub> ). Antioxidants decreased cell death (vitamin E)  | Gerhardt et al., 2015                          |
| Pancreatic carcinoma       | PDAC   | ART        | ROS↑  | Eling et al., 2015                             |

expression in peripheral mononuclear blood cells of four healthy donors was confined to 0.4–1.3%. This indicates that artemisinins plus ferrous iron may affect tumor cells more than normal cells.

In addition to the transferrin receptor, specific ATP-binding cassette (ABC) transporters, *i.e.* ABCB6 and ABCB7, are also involved in iron homeostasis. To investigate whether these proteins play a role for sensitivity towards ART, Oncotest's 36 cell line panel was treated with ART or ART plus Ferrosanol<sup>®</sup><sup>[100]</sup>. As expected, the majority of cell lines showed increased inhibition rates, for the combination of ART plus Ferrosanol<sup>®</sup> compared to ART alone. However, in 11 out of the 36 cell lines the combination treatment was not superior. Cell lines with high transferrin receptor expression significantly correlated with high degrees of modulation, indicating that high transferrin receptor-expressing tumor cells were more efficiently inhibited by this combination treatment than those with low transferrin receptor expression. In 55 NCI cell lines, a significant correlation was found between *ABCB6*, but not *ABCB7* mRNA expression and cellular response to ART. ART treatment of CCRF-CEM leukemia and MCF7 breast cancer cells induced *ABCB6* expression, but repressed *ABCB7* expression. Furthermore, ART inhibited proliferation and differentiation of mouse erythroleukemia (MEL) cells. Down-regulation of *ABCB6* by antisense oligonucleotides inhibited differentiation of MEL cells indicating that ART and *ABCB6* may cooperate. In conclusion, our results indicate that ferrous iron improves the activity of ART in some, but not all tumor cell lines. If it comes to the clinical application of ART for tumor treatment in the future, a general cotreatment with iron is rather not recommendable.

These initial data on the role of iron for the activity of artemisinins towards cancer cells have been corroborated by many publications in subsequent years<sup>[52,58,86,97-118]</sup> (Table 3). The iron chelator deferoxamine abolished the cytotoxicity of DHA, indicating a crucial role of iron for the activity of artemisinins. It was only recently, when the iron-dependent cytotoxicity of ARS-type compounds has been discussed in the context of a novel mode of cell death, termed ferroptosis (see below). The ferroptosis inhibitor ferrostatin-1 also inhibited DHA-induced cytotoxicity<sup>[118]</sup>.

The correlation of iron homeostasis-regulating genes to the susceptibility of tumor cells raises the question, whether these genes might serve as biomarkers to predict the responsiveness of tumors to artemisinins in cancer patients. It is well known that the iron uptake is higher in highly proliferating tumors compared to normal tissues<sup>[119,120]</sup>. This may explain at least in part the preferential cytotoxicity of artemisinins towards tumor cells compared to normal cells. Cellular iron uptake and internalization are mediated by binding of transferrin-iron complexes to the transferrin receptor (CD71) expressed on the cell surface membrane and subsequent endocytosis. Transferrin receptor expression in normal tissues is limited to a few sites, *e.g.* basal epidermis, endocrine pancreas, hepatocytes, Kupffer cells, testis, and

pituitary. Most other tissues do not express transferrin receptor<sup>[121]</sup>. In contrast, transferrin receptor is expressed in much larger amounts in proliferating and malignant cells<sup>[122-124]</sup> and it is widely distributed among clinical tumors<sup>[119-121]</sup>. It deserves further investigation, whether transferrin receptor and other iron-regulating genes and proteins may serve as biomarkers to predict the sensitivity of tumors to artemisinin-type drugs.

## INDUCTION OF DNA DAMAGE AND REPAIR

Micorarray analyses on a panel of 60 NCI tumor cell lines revealed that the mRNA expression of several DNA damage response and repair genes significantly correlated with the log<sub>10</sub>IC<sub>50</sub> values of artemisinins for these cell lines, *e.g.* *ERCC5*, *FEN1*, *HMG1*, *HMF17*, *LIG1*, *RPS3*, *UNG*, and *UBE2A*<sup>[75,76]</sup>. Therefore, we hypothesized that ART may induce DNA damage due to the cleavage of the molecule's endoperoxide moiety, which may lead to ROS-or carbon-centered radical-mediated DNA damage.

Indeed, ART induced DNA breaks in a dose-dependent manner as shown by single-cell gel electrophoresis<sup>[125]</sup>. This genotoxic effect was confirmed by measuring the level of  $\gamma$ -H2AX, which is considered as marker for DNA double-strand breaks (DSB). Polymerase beta-deficient cells were more sensitive than the wild-type to ART, indicating that the drug induces DNA damage that is repaired by base excision repair. Irs1 and VC8 cells defective in homologous recombination (HR) due to inactivation of XRCC2 and BRCA2, respectively, were more sensitive to ART than the corresponding wild-type. This was also true for XR-V15B cells defective in nonhomologous end-joining (NHEJ) due to inactivation of Ku80. The data indicate that DSBs induced by ART are repaired by the HR and NHEJ pathways<sup>[125]</sup>.

ART is a powerful inducer of oxidative DNA damage, giving rise to formamidopyrimidine DNA glycosylase-sensitive sites and the formation of 8-oxoguanine and 1,N<sup>6</sup>-ethenoadenine. Oxidative DNA damage was induced in human LN-229 glioblastoma cells together with apoptotic and necrotic cell death, which could be attenuated by radical scavengers such as N-acetyl cysteine (NAC). Oxidative DNA damage resulted in DSBs as determined by  $\gamma$ -H2AX foci. Upon chronic treatment with ART, DSBs continuously increased over the treatment period up to a steady-state level. This was in contrast to ionizing radiation, which induced a burst of DSBs followed by a decline due to their repair. Knockdown of Rad51 by siRNA and inactivation of DNA-PK strongly sensitized glioma cells to ART. These data indicate that both HJ and NHEJ pathways are involved in the repair of ART-induced DSBs. ART provoked a DNA damage response that was characterized by phosphorylation of ATM, ATR, Chk1, and Chk2.<sup>126</sup> Our initial findings on ART-induced DNA damage were confirmed for ARS, ARM, DHA, and ARS tagged to transferrin by other authors<sup>[75,76,125-130]</sup> (Table 4).

**Table 3.** Role of iron for the cytotoxicity of artemisinins towards cancer cells.

| Tumor type                                      | Cell line                        | Drug           | Effect  | Reference                   |
|---|----------------------------------|----------------|---|-----------------------------|
| Rat fibrosarcoma                                |                                  | DHA            | Ferrous sulfate retarded tumor growth following DHA   | Moore et al., 1995          |
| Breast carcinoma                                |                                  | DHA            | Holotransferrin increased cytotoxicity of DHA   | Singh and Lai, 2001         |
| Leukemia astrocytoma                            | CCRF-CEM , U373                  | ARS ART        | Iron(II)-glycinesulfate (Ferrosanol®) and holotransferrin enhanced the cytotoxicity of artemisinins, while the monoclonal anti-transferrin receptor antibody RS10 decreased it. | Efferth et al., 2004        |
| Leukemia  | Molt-4                           | DHA            | Holotransferrin increased cytotoxicity of DHA   | Singh and Lai, 2004         |
| Leukemia  | Molt-4                           | ARS-TF         | Transferrin tagging increased cytotoxicity of ARS   | Lai et al., 2005a, 2005b    |
| Cervical carcinoma                              | HCX-E6/E7, HeLa, SiHa, Caski     | DHA            | Transferrin receptor expression correlated with DHS sensitivity, iron-dependent ROS-formation   | Disbrow et al., 2005        |
| Diverse   | 36 cell lines, 55 NCI cell lines | ART            | Ferrosanol® increased ART sensitivity in 25 out of 36 cell lines. IC <sub>50</sub> values for ART correlated with the mRNA expression of TFRC and ABCB6 in 55 NCL cell lines    | Kelter et al., 2007         |
| Rat glioma                                      | C6                               | ARS, DHA       | Ferrous ions increased, deferoxamine abolished cytotoxicity.  | Lu et al., 2008             |
| Rat breast tumor                                | MTLn3                            | ARS-TF         | Inhibition of tumor growth, no side effects   | Lai et al., 2009            |
| Prostate carcinoma                              | DU145                            | ARS-TF, ART-TF | The conjugates retained activity of untagged ARS. siRNA-mediated knockdown of transferrin impaired ART-transferrin, but not ARS-transferrin                                     | Nakase et al., 2009         |
| Breast carcinoma                                | MCF-7                            | ARS            | Heme (Fe <sup>2+</sup> protoporphyrin IX) increased cytotoxicity  | Zhang and Gerhard, 2009     |
| Breast carcinoma                                | MCF-7                            | ART            | Iron induced mitochondrial apoptosis, deferoxamine abolished cytotoxicity.  | Hamacher-Brady et al., 2011 |
| Colorectal carcinoma                            | HCT-116                          | DHA            | Iron-dependent endoplasmic reticulum stress. GRP78↑, GADD153↑, deferoxamine abolished these effects   | Lu et al., 2011             |
| Cervical carcinoma                              | HeLa                             | ARS            | Heme and holotransferrin enhanced endoperoxide activation and cytotoxicity.   | Mercer et al., 2011         |
| Cervical carcinoma                              | HeLa                             | DHA            | DHA depleted cellular iron and down-regulated transferrin receptor expression by a lipid raft-mediated internalization pathway  | Ba et al., 2012             |
| Leukemia  | K562                             | DHA            | Iron-loaded cells underwent autophagy downregulation of transferrin-receptor expression   | Wang et al., 2012           |
| Hepatoblastoma, Hepatocarcinoma colon carcinoma | HepG2, SK-HEP1, LS174T           | ARS and others | Ferrosanol®, but not hemin increased cytotoxicity   | Blazquez et al., 2013       |
| Leukemia  | Molt-4                           |                | Deferoxamine attenuated cytotoxicity of DHA   | Chan et al., 2013           |
| Hepatocellular carcinoma                        | SMMC-7721                        | ARS            | Holoferrin enhanced the cytotoxic activity of ARS   | Deng et al., 2013           |
| Retinoblastoma                                  | RB-Y79                           | ART            | ART internalization was dependent upon transferrin receptor   | Zhao et al., 2013           |

Table 3. (Continued)

| Tumor type                                    | Cell line                      | Drug                               | Effect  | Reference              |
|---|--------------------------------|------------------------------------|---|------------------------|
| Canine histiocytic sarcoma                    | DH82                           | DHA                                | expression, siRNA-mediated knockdown of transferrin receptor decreased ART Endophagic uptake of heme-iron enhanced DHA cytotoxicity, suggesting a role of exogenous heme        | Chikazawa et al., 2014 |
| Hepatocellular carcinoma, lung adenocarcinoma | HepG2, A549                    | ARS, ART, DHA                      | Binding to transferrin enhanced cellular uptake   | Yang et al., 2014      |
| Renal cell carcinoma                          | Caki-1, 786-0, SN12C-GFP-SRLu2 | ART                                | Transferrin receptor expression is correlated with metastasis and unfavorable prognosis. ART cytotoxicity correlated with transferrin receptor expression                       | Jeong et al., 2015     |
| Diverse                                       | 55 NCI cell lines              | DHA, ARS, ART, ARE, ARM and others | mRNA expression of 20 iron-regulating genes correlated with IC <sub>50</sub> values of artemisinins. Ferrostatin and deferoxamine abolished DHA-cytotoxicity in CCRF-CEM cells. | Ooko et al., 2015      |

## INDUCTION OF CELL CYCLE ARREST

It can be expected that ROS generation and oxidative DNA damage massively disturb cellular integrity, which affects the basic cellular machinery involved in replication and cell division. In cancer biology, it is common sense that DNA damage induced by anticancer agents cause cell cycle arrest and apoptosis. Hence, it comes as no surprise that numerous investigations described cell cycle arrest upon treatment of tumor cells with artemisinin-type compounds<sup>[54-56,64-65,67,69,76,91,108,114,117,131-150]</sup> (Table 5). Again, this phenomenon has been observed, independently as to whether the cell lines derived from hematopoietic, mesenchymal or epidermal origin. It may be surprising, however, that the halt of cell cycle progression does take place both at G1 and G2 checkpoints. As these G1 or G2 arrests do not seemingly occur in a tumor-type or drug-specific fashion, individual aberrations in the cell cycle machinery may determine, whether a cell line rather induces G1 or G2 cell cycle arrest upon exposure to artemisinins.

A panel of tumor cell lines treated under comparable conditions (the same conditions of maintenance, the same detection method, the same experimenter etc.) showed that three of 7 cell lines induced G1 arrest, while others arrested the cell cycle in G2<sup>[138]</sup>. This reflects the general situation documented in the literature (Table 5).

Considering the paramount importance of the p53 pathway for G1 arrest, p53 and p21<sup>WAF1/CIP1</sup> were analyzed in more detail and used human wild-type HCT-116 colon cancer cells (p53<sup>+/+</sup> and p21<sup>WAF1/CIP1+/+</sup>) and isogenic knockout clones (p53<sup>-/-</sup>, p21<sup>WAF1/CIP1-/-</sup> and p53<sup>-/-</sup>/p21<sup>WAF1/CIP1-/-</sup>)<sup>[76]</sup>. The incorporation of bromodeoxyuridine (BrdU) was inhibited in all three cell lines in a time-dependent manner and to a similar extent. This indicates that the two knockout cell lines were similarly sensitive to ART-induced inhibition of proliferation as wild-type HCT-116 cells. Using immunoblotting and kinase assays, the protein expression and kinase activity of cell cycle regulating genes were analyzed in wild-type cells and knockout mutants. Treatment

Table 4. Induction of DNA damage and repair by artemisinins in cancer cells.

| Tumor type               | Cell line         | Drug               | Effect  | Reference   |
|--------------------------|-------------------|--------------------|---|---|
| Diverse                  | 60 NCI cell lines | ART, ARE, ARM, ART | The mRNA expression of genes related to DNA damage and repair correlated to IC <sub>50</sub> of artemisinins<br>Induction of DNA double-strand breaks. Involvement of base excision repair, homologous repair (HR) and non-homologous end-joining (NHEJ) in ART induced DNA damage. | Efferth et al., 2001; 2002; 2003<br>Li et al., 2008 |
|                          |                   | ART                | Induction in oxidative DNA damage that results in DNA-double strand breaks. Involvement of HR and NHEJ  | Berdelle et al., 2011                               |
| Gastic carcinoma         | PG100             | ARM                | Induction of DNA damage   | Alcântara et al., 2013                              |
| Hepatocellular carcinoma |                   | ARS ART            | Induction of DNA damage   | Aquino et al., 2013                                 |
| Leukemia                 | MOLT-4, RTN       | DHA, ART-TF        | DHA-resistant RTN cells revealed less X-ray-induced DNA damage than wild-type Molt-4 cells  | Park et al., 2015                                   |



**Table 5.** Cell cycle effects of artemisinins in cancer cells.

| Tumor type                           | Cell line                      | Drug           | Effect   | Reference                       |
|--------------------------------------|--------------------------------|----------------|--|---------------------------------|
| Diverse                              | 55 NCI cell lines              | ART            | Correlation of G <sub>0</sub> G <sub>1</sub> and S phases to IC <sub>50</sub>                        | Efferth et al., 2003            |
| Ovarian carcinoma                    |                                | DHA            | G <sub>2</sub> M phase arrest  | Jiao et al., 2007               |
| Breast cancer                        | MCF7                           | ARS            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Sundar et al., 2008             |
| Hepatoma                             |                                | ART, DHA       | G <sub>0</sub> G <sub>1</sub> phase arrest; cyclin D1↓, cyclin E↓, CDK2↓, CDK4↓, E2F1 ↓, p21 ↑, p27↑ | Hou et al., 2008                |
| Leukemia                             | K562                           | ART            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Yao et al., 2008                |
| Prostate carcinoma                   | PC-3                           | ART            | G <sub>2</sub> M phase arrest  | Huang et al., 2008              |
| Pancreatic carcinoma                 | BxPC-3, AsPC-1                 | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest; regulation of cyclin E, CDK2↓, CDK4↓, p27↑, p21↑         | Chen et al., 2009a, 2009b, 2010 |
| Multiple myeloma                     | SP2/0                          | ART            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Li et al., 2009                 |
| Lymph node carcinoma of the prostate | LnCaP                          |                | G <sub>0</sub> G <sub>1</sub> phase arrest; CDK2↓, CDK4↓, pSp1 ↓                                     | Willoughby et al., 2009         |
| Leukemia                             | CCRF-CEM, CEM/ADR5000          | Artesunic acid | G <sub>0</sub> G <sub>1</sub> phase arrest   | Horwedel et al., 2010           |
|                                      |                                | ART            |  | Steinbrück et al., 2010         |
| Osteosarcoma                         |                                | DHA            | G <sub>2</sub> M phase arrest; cyclin D1↑, CDC25B↓, cyclin B1↓                                       | Ji et al., 2011                 |
| Colorectal carcinoma                 | HCT116                         | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Lu et al., 2011                 |
| Nasopharyngeal carcinoma             | CNE-1, CNE-2                   | ARS            | G <sub>0</sub> G <sub>1</sub> phase arrest; p16↓, CDK4↓  | Wu et al., 2011                 |
| Osteosarcoma                         | HOS                            | ART            | G <sub>2</sub> M phase arrest  | Xu et al., 2011                 |
| Epidermoid carcinoma                 | A431                           | ART            | G <sub>0</sub> G <sub>1</sub> phase arrest; cyclin A1↓, cyclin B1↓, cyclin D1↓, CDK2↓, CDK4↓, CDK6↓  | Jiang et al., 2012              |
|                                      |                                | ART            | G <sub>2</sub> M phase arrest  | Mao et al., 2012                |
| Breast cancer                        | MCF7                           | ARS            | G <sub>0</sub> G <sub>1</sub> phase arrest; cyclin D1↓, cyclin E↓, CDK2↓, CDK4↓, E2F1↓               | Tin et al., 2012                |
| Hepatocellular carcinoma             |                                | DHA            | G <sub>2</sub> M phase arrest; p21↑, CDC25B↓, cyclin B↓  | Zhang et al., 2012              |
| Endometrial carcinoma                | RL95-2                         | ART            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Zheng et al., 2012              |
| Esophageal carcinoma                 |                                | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest; cyclin E↓, CDK2↓, CDK4↓                                  | Du et al., 2013                 |
| Breast carcinoma                     |                                | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Mao et al., 2013                |
| Gastric carcinoma                    | SGC-7901, BGC823, MGC803       | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest, p21↑, p27↑, PCNA↓, cyclin E↓, cyclin D1↓                 | Sun et al., 2013                |
| Retinoblastoma                       | RB-Y79                         | ART            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Zhao et al., 2013               |
| Glioma                               | Stem cells                     | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Cao et al., 2014                |
| Breast cancer                        | MCF7, MDA-MB-231               | ART            | G <sub>2</sub> M phase arrest, p21↑  | Chen et al., 2014               |
| Colorectal carcinoma                 | HCT116, HCT116/R               | DHA            | G <sub>0</sub> G <sub>1</sub> phase arrest, GADD153↑, GRP78↑   | Lu et al., 2014                 |
| Endometrial carcinoma                | Ishikawa                       | ARS            | G <sub>0</sub> G <sub>1</sub> phase arrest; CDK2↓, CDK4↓   | Tran et al., 2014               |
| Gastric carcinoma                    | AGS, MKN74                     | ARS            | p21↑, p27↑   | Zhang et al., 2014              |
| Neuroblastoma                        |                                | ARS            | G <sub>0</sub> G <sub>1</sub> phase arrest   | Zhu et al., 2014                |
| Renal cell carcinoma                 | Caki-1, 786-O, SN12C-GFP-SRLu2 | ART            | G <sub>2</sub> M phase arrest  | Jeong et al., 2015              |

with ART induced the p53 protein expression in wild-type cells but not in p53 and p21<sup>WAF1/CIP1</sup> knockout cells. The p21<sup>WAF1/CIP1</sup> protein was strongly induced in wild-type cells and very weakly induced in p53/p21<sup>WAF1/CIP1</sup> knockout cells upon ART treatment. Hypophosphorylation of the tumor suppressor protein RB coincided with a down-regulation of CDK2 kinase activity in response to ART treatment, which is indicative of G1 arrest. Protein expression and kinase activity of the G2/M regulator cyclin B1 declined after treatment of all three cell lines with ART<sup>[76]</sup>. Furthermore, the conditional

expression of the *CDC25A* gene using a tetracycline repressor expression vector increased ART sensitivity<sup>[76]</sup>. This speaks for a role of ART in arresting cells in the G1 phase.

Cells residing in the G2/M arrest revealed multiple centrosomes, small multiple spindles and multi-nucleated cells, suggesting a defect in cytokinesis. The mitotic spindle checkpoint genes *bub1*, *bub2*, *bub3*, *mad1*, *mad2* and *mad3* were individually deleted and the sensitivity of these mutants towards ART was determined by monitoring the cell growth. The  $\Delta$ *bub3* and  $\Delta$ *mad3* mutants showed an increased

sensitivity and the  $\Delta$ mad2 mutant a slightly decreased sensitivity to ART in comparison to the respective wild type. The Bub3, Mad3 and Mad2 proteins are the main regulators of the mitotic spindle checkpoint, suggesting that ART may interfere with this control mechanism<sup>[127,138]</sup>.

G1 arrest induced by ARS, ART or DHA was accompanied by specific changes in the expression of cell cycle-regulating genes/proteins, e.g. down-regulation of cyclins A1, D1 and E, CDKs 2, 4 and 6, and up-regulation of p21 and p27 and others. On the other hand, arresting the cell cycle in G2 by artemisinins was associated with down-regulation of cyclin B and CDC25B and up-regulation of cyclin D1. These data speak for the specificity of cell cycle blockage and the controlled regulation, whether G1 or G2 arrest is induced after treatment of tumor cells with artemisinins.

## INDUCTION OF CELL DEATH

### Apoptotic cell death

Oxidative stress and DNA damage not only provoke cell cycle arrest and DNA repair, but also ultimately lead to cell death. In 1996, Efferth et al. were the first to describe that ART induces apoptosis in tumor cells<sup>[42]</sup> - a result that has been confirmed by numerous subsequent publications in the following years<sup>[42,52,54-56,60,62-64,67-69,71,74-76,80-84,87-89,91-93,101,104,108,131,132,134,135,139,141,46,151-178]</sup> (Table 6). Later on, ART was found to induce both the intrinsic, mitochondrial as well as the extrinsic FAS-receptor-driven pathway of apoptosis<sup>[80,81]</sup> with induced Fas/CD95 expression, breakdown of the mitochondrial membrane potential, cytochrome C release, PARP cleavage and caspase 3/9 activation. Bcl-2 transfected cells were more resistant to artesunate<sup>[79]</sup>. In the meantime, a mass of results are available for cell lines inducing either the intrinsic or extrinsic pathway of apoptosis upon challenge with artemisinins (Table 6).

### Non-apoptotic cell death

In addition to caspase-dependent apoptosis, artemisinins are also able to induce non-apoptotic forms of caspase-independent cell death<sup>[70,86,90,93,96,107,118,128,144,47,179-181]</sup> (Table 7). In 2011, the induction of autophagy by ART was reported<sup>[107]</sup>, which was corroborated by other authors later on (Table 7). Autophagy represents a cellular emergency mechanism in response to the nutrient depletion, damaged organelles or other cellular stress situations. For proper degradation and recycling, cellular components are engulfed in autophagosomal vesicles, which are transported to lysosomes, where the degradation takes place. A key player in the autophagy process is mTOR1, which activates the ULK1 kinase complex (ULK1, ATG13, ATG17) leading to autophagosome formation. Depending on the cellular context, recycling of cellular material by autophagy may lead either to improved cell survival or cell death.

The role of necrosis and necroptosis as relevant modes of cell death for artemisinins has been emphasized too (Table 7). While necrosis is understood as accidental and non-programmed cell death, necroptosis shares features of

necrosis, but occurs in a programmed fashion. Necroptosis (or inflammatory cell death) represents a cellular defense mechanism against viral or other microbial attack.

Another related form of accidental or passive cell death is oncosis (ischemic cell death), which is characterized by cytosolic vacuolization as well as swelling of mitochondria, nucleus and cytoplasm. A few authors reported oncosis in response to treatment of cancer cells with artemisinins<sup>[57,70]</sup>.

Recently a specific novel mode of iron-dependent cell death, termed ferroptosis has been unraveled<sup>[182]</sup>. Given the crucial role of iron for the cytotoxic action of artemisinins, the involvement of ferroptosis is obvious (Table 7). This is a novel type of caspase-independent non-apoptotic cell death, which is dependent on the intracellular presence of iron.<sup>[183]</sup> In ferroptosis, RAS-mutated tumor cells commit programmed cell death with concomitant increases of ROS levels and decreases of mitochondrial sizes. The exact mechanism of ferroptosis is yet to be clarified. Intracellular cysteine import mediated by a glutamate-cysteine-antiporter system in the cell membrane suppresses ferroptosis. Cysteine is needed for the synthesis of glutathione and glutathione prevents the accumulation of lipid peroxides. Ferroptosis occur by inhibition of glutathione peroxidase 4. Erasin, an oncogenic RAS-selective lethal compound, as well as the kinase inhibitor sorafenib inhibited the cysteine-glutamate antiporter complex  $x_c^-$  and induced ferroptosis<sup>[182-183]</sup>. Ferrostatin-1 and deferoxamine are iron-depleting agents that inhibit ferroptosis<sup>[184-185]</sup>.

ART specifically induced ROS- and lysosomal iron-dependent ferroptosis in KRAS-mutant pancreatic ductal adenocarcinoma cell lines with constitutively active K-RAS<sup>[96]</sup>. Ferrostatin-1 blocked ART-induced lipid peroxidation and cell death. Analysis of mRNA microarray data of pancreatic carcinoma showed a dependency on antioxidant homeostasis and increased sensitivity to free intracellular iron, both of which correlated with RAS-driven sensitivity to ferroptosis.

Ooko et al. (2015) correlated the  $\log_{10}IC_{50}$  values of 10 artemisinin derivatives to the microarray-based mRNA expression of 30 iron-related genes in 60 NCI cell lines as determined in 218 different microarray hybridization experiments<sup>[118]</sup>. The mRNA expression of 20 genes represented by 59 different cDNA clones significantly correlated to the  $\log_{10}IC_{50}$  values for the artemisinins, including genes encoding transferrin (TF), transferrin receptors 1 and 2 (TFRC, TFR2), ceruloplasmin (CP), lactoferrin (LTF) and others. Ferrostatin-1 and deferoxamine reduced the cytotoxicity of DHA. Pre-therapeutic determination of iron-related genes may indicate tumor sensitivity to artemisinins. Ferroptosis induced by ARS-type drugs deserve further investigation for individualized tumor therapy.

## INHIBITION OF ANGIOGENESIS

Natural products act in a rather multi-target specific manner compared to targeted synthetic small molecule inhibitors<sup>[186]</sup>. From an evolutionary point of view, it makes much more sense for plants to have broad-spectrum and versatile chemical

Table 6. Induction of apoptotic cell death by artemisinins in cancer cells.

| Tumor type                   | Cell line                       | Drug               | Effect  | Reference                        |
|------------------------------|---------------------------------|--------------------|---|----------------------------------|
| Leukemia                     | KG-1a                           | ART                | Apoptosis   | Efferth et al., 1996             |
| Diverse                      | 55 NCI cell lines               | ART, ARE, ARM      | Correlation of microarray-based apoptosis-regulating genes to IC <sub>50</sub> values, p53-independent apoptosis.   | Efferth et al., 2002; 2003       |
| Leukemia                     | Molt-4                          | ARS                | Induction of apoptosis, but not necrosis  | Singh and Lai, 2004              |
| Oral squamous cell carcinoma | IHGK                            | ARS                | Induction of apoptosis, Bax $\uparrow$ , Bcl-2 $\downarrow$   | Yamachika et al., 2004           |
| Cervical carcinoma           | HCC-E6/E7, HeLa, SiHa, Caski    | DHA                | P53-independent apoptosis, caspase 9 $\uparrow$ , PARP $\uparrow$ ,   | Disbrow et al., 2005             |
| Leukemia                     | Jurkat, CCRF-CEM                | ART                | Intrinsic pathway of apoptosis  | Efferth et al., 2007             |
| Rat glioma                   | C6                              | DHA                | Induction of apoptosis, HIF-1 $\alpha$ $\downarrow$   | Huang et al., 2007               |
| Ovarian carcinoma            | C6                              | DHA                | Induction of apoptosis Bcl-2 $\downarrow$ , Bcl-xL $\downarrow$ , Bax $\uparrow$ , Bad $\uparrow$   | Jiao et al., 2007                |
| Rat glioma                   | C6                              | DHA                | Induction of apoptosis  | Ma et al., 2007                  |
| Lung cancer                  | SPC-A-1                         | DHA                | Induction of apoptosis, survivin $\downarrow$   | Mu et al., 2007                  |
| Lung carcinoma               | PC-14                           | DHA                | Induction of apoptosis, Ca <sup>2+</sup> $\uparrow$ , p38 activation  | Mu et al., 2008                  |
| Leukemia                     | U937                            | ART                | Induction of apoptosis, induction of T-cell mediated dendritic antileukemic responses <i>in vitro</i>   | Zheng et al., 2007               |
| Canine osteosarcoma          | OSCA2, OSCA16, OSCA50, D17      | DHA                | Induction of apoptosis, caspase 3 $\uparrow$  | Hosoya et al., 2008              |
| Hepatoma                     | HepG2, Huh-7, BEL-7404, Hep3B   | ART, DHA, ARM, ARS | Induction of apoptosis, Bax/Bcl-2 ratio $\uparrow$ , PARP $\uparrow$ , MDM2 $\downarrow$  | Hou et al., 2008                 |
| Leukemia                     | HL-60                           | DHA                | Induction of apoptosis, p38 MAPK $\downarrow$   | Lu et al., 2008                  |
| Leukemia                     | K562                            | DHA                | Induction of apoptosis CHK1, DNA-PKI, TOPO1 $\downarrow$ , MCL-1 $\downarrow$   | Yao et al., 2008                 |
| Pancreatic carcinoma         | BxPC-3, AsPC-1                  | DHA                | Induction of apoptosis, nuclear NF- $\kappa$ B p65 $\downarrow$ , Bax $\uparrow$ , Bcl-2 $\downarrow$ , caspases 3/9 $\uparrow$   | Chen et al., 2009a; 2009b; 20010 |
| Multiple myeloma             | SP2/0                           | ART                | Induction of apoptosis, nuclear NF- $\kappa$ B p65 $\downarrow$ , I $\kappa$ B $\beta$ $\uparrow$   | Li et al., 2009                  |
| Lung adenocarcinoma          | ASTC-a-1                        | DHA                | Induction of apoptosis, mitochondrial membrane potential $\downarrow$ , caspase 3 $\uparrow$  | Lu et al., 2009                  |
| Melanoma                     | Ramos                           | ART                | Induction of apoptosis in melanoma cells of ret-transgenic mice   | Ramacher et al., 2009            |
| Non-Hodgkin lymphoma         | MiaPaCa-2, BxPC-3               | ART                | Extrinsic pathway of apoptosis, YY1 $\downarrow$ , Sp1 $\downarrow$ , Bid $\uparrow$  | Sieber et al., 2009              |
| Pancreatic carcinoma         | Raji, Jurkat, ALL primary cells | ART                | Induction of apoptosis, caspases 3/7 $\uparrow$ , TOPO2A $\downarrow$   | Youns et al., 2009               |
| Leukemia                     | Raji, Jurkat, ALL primary cells | ART                | Induction of apoptosis, mitochondrial membrane potential $\downarrow$ , caspase-3 $\uparrow$  | Zeng et al., 2009                |
| Murine lung carcinoma        | Lewis                           | DHA                | Induction of apoptosis  | Zhou et al., 2009                |
| Leukemia                     | Jurkat                          | DHA                | Induction of apoptosis, mitochondrial membrane potential $\downarrow$ , cytochrome C release, caspases $\uparrow$ , Bcl-2 $\downarrow$ , Bcl-xL $\downarrow$ , NOXA $\uparrow$ , Bax $\uparrow$ | Handrick et al., 2010            |
| Prostate carcinoma           | Jurkat                          | DHA                | Induction of intrinsic and extrinsic apoptosis, P13-K/AKT and ERK pathways $\downarrow$ , death receptor DR5 $\uparrow$   | He et al., 2010                  |
| Lung adenocarcinoma          | ASTC-a-1                        | DHA                | Induction of intrinsic and extrinsic apoptosis, mitochondrial membrane potential $\downarrow$ , cytochrome C release, caspases 3/8/9 $\uparrow$ , Bid $\uparrow$                                | Lu et al., 2010                  |
| Neuroblastoma                | 16 cell lines                   | ART                | Induction of apoptosis, role of glutathione mechanism   | Michaelis et al., 2010           |
| Breast cancer                | MCF-7, MDA-MB-231               | ART                | Induction of apoptosis; resistance by NF- $\kappa$ B $\uparrow$ , Bcl-2 $\uparrow$ and Bax $\downarrow$   | Bachmeier et al., 2011           |
| Pancreatic carcinoma         | BxPC-3                          | DHA                | Induction of apoptosis, Bcl-2 $\downarrow$ , Bax $\uparrow$   | Aung et al., 2011                |
| Leukemia                     | AML and ALL primary cells       | DHA                | Induction of apoptosis, cytochrome C release, caspase $\uparrow$ , Mcl-1 $\downarrow$ , MEK/ERK $\downarrow$  | Gao et al., 2011                 |

Table 6. (Continued)

| Tumor type   | Cell line                | Drug | Effect  | Reference                      |
|--|--------------------------|------|---|--------------------------------|
| Hepatoma   | HepG2                    | DHA  | Induction of apoptosis, Ca <sup>2+</sup> , GADD153, Bax, Bcl-2  | Gao et al., 2011               |
| Osteosarcoma   |                          | DHA  | Induction of intrinsic and extrinsic apoptosis, caspases 3/8/9, Fas, Bax, Bcl-2, NF-κB  | Ji et al., 2011                |
| Colorectal carcinoma                                   | HCT-116                  | DHA  | Induction of apoptosis endoplasmic reticulum stress, GRP78, GADD153   | Lu et al., 2011                |
| Lung carcinoma   | A549                     | ART  | Induction of apoptosis, EGFR, AKT, ABCG2  | Ma et al., 2011                |
| Cervical carcinoma                                     | HeLa                     | ART  | Induction of extrinsic apoptosis, survivin, XIAP, AKT inactivation, inhibition of TRAIL-induced transcriptional activation of NF-κB | Thanaketaipaisarn et al., 2011 |
| Osteosarcoma   | HOS                      | ART  | Induction of intrinsic apoptosis, cytochrome C release, Bax, Bcl-2, caspases 3/9  | Xu et al., 2011                |
| Metastatic melanoma                                    | A375, G361, LOX          | DHA  | Induction of apoptosis, p53 phosphorylation, NOXA   | Cabello et al., 2012           |
| Lung adenocarcinoma                                    | A549, ASTC-a-1           | DHA  | Induction of apoptosis, induction of endoplasmic reticulum stress, Bim  | Chen et al., 2012              |
| Leukemia   | K562                     | DHA  | Induction of apoptosis BCR/ABL  | Gao et al., 2012               |
| Epidermoid carcinoma                                   | A431                     | ART  | Induction of intrinsic apoptosis  | Jiang et al., 2012             |
| Colorectal carcinoma                                   | HCT-116/R                | DHA  | Induction of apoptosis, heat shock proteins   | Lu et al., 2012                |
| Prostate carcinoma                                     | PC-3M                    | DHA  | Induction of apoptosis, caspases 3/8  | Wang et al., 2012              |
| Hepatocellular carcinoma                               |                          | DHA  | Induction of apoptosis, cathepsin C release, caspases 3/9, Mcl-1, NOXA, Bax   | Zhang et al., 2012             |
| Lung adenocarcinoma                                    | ASTC-a-1, A549           | ART  | Induction of intrinsic apoptosis, release of Smac and AIF, Bak, VDAC2, Bim  | Zhou et al., 2012              |
| Esophageal carcinoma                                   |                          | DHA  | Induction of apoptosis, Bax, Bcl-2, Bcl-xL, procaspase-3, caspase-9   | Du et al., 2013                |
| Lung cancer  | A549                     | ARS  | Induction of apoptosis, mitochondrial membrane potential, Bid cleavage, release of SMAC and AIF, caspases 3/8/9                     | Gao et al., 2013               |
| Multiple myeloma, diffuse large B-cell lymphoma        |                          | ART  | Induction of apoptosis, MYC, Bcl-2, caspase 3   | Hollen et al., 2013            |
| Nasopharyngeal carcinoma                               | CNE-2                    | DHA  | Induction of apoptosis, caspase 3   | Huang et al., 2013             |
| Leukemia   | CML cells                | DHA  | Induction of apoptosis, BCR/ABL, AKT, ERK, cytochrome C release, caspases 3/9   | Lee et al., 2013               |
| Osteosarcoma   |                          | DHA  | Induction of apoptosis, GSK3β   | Liu et al., 2013               |
| Breast cancer  |                          | DHA  | Induction of intrinsic apoptosis, cytochrome C release, caspases 8/9, Bid activation, Bim, Bcl-2                                    | Mao et al., 2013               |
| Pancreatic carcinoma                                   | R/N                      | ARS  | Induction of apoptosis  | Noori et al., 2014             |
| Gastric carcinoma                                      | SGC-7901, BGC823, MGC803 | DHA  | Induction of apoptosis, Bcl-2, caspase 9, PARP  | Sun et al., 2013               |
| Glioma   | Stem cells               | DHA  | Induction of apoptosis, p-AKT, caspase 3  | Cao et al., 2014               |
| Lung cancer, squamous cell carcinoma, breast carcinoma | A549, SCC25, MDA-MB-231  | ART  | Induction of apoptosis and autophagy, accumulation of acidic vacuoles, cytochrome C release, caspase 3                              | Ganguli et al., 2014           |
| Cervical carcinoma                                     | HeLa, Caski              | DHA  | Induction of apoptosis, RIKIP, Bcl-2  | Hu et al., 2014                |
| Colorectal carcinoma                                   |                          | DHA  | Induction of apoptosis, mitochondrial membrane potential, caspases 3/8/9, cytochrome C release, AIF translocation                   | Lu et al., 2014                |
| Rhabdomyosarcoma                                       | Rh30, RD                 | DHA  | Induction of apoptosis  | Odaka et al., 2014             |
| Multiple myeloma                                       |                          | ART  | Induction of non-caspase apoptosis, mitochondrial membrane potential, translocation of AIF and EndoG                                | Papanikolaou et al., 2014      |
| Murine mastocytoma, hamster kidney adenocarcinoma      | P815, BSR                | ARS  | Induction of apoptosis  | Tilauri et al., 2014           |
| Bladder cancer   |                          | ART  | Induction of apoptosis, miR-161, COX-2, PGE2  | Zuo et al., 2014               |
| Osteosarcoma   | 143B                     | DHA  | Induction of apoptosis  | Liu et al., 2015               |
| HPV-39 infected cervical carcinoma                     | ME-180                   | ARS  | Induction of apoptosis, decreased telomerase activity, hTERT, HPV-39 E6 and E7  | Mondal and Chatterji, 2015     |
| Gastric cancer   |                          | ART  | Induction of intrinsic apoptosis, COX2, Bax, Bcl-2, mitochondrial membrane potential, caspases 3/9                                  | Zhang et al., 2015             |

**Table 7.** Induction of non-apoptotic cell death by artemisinins in cancer cells.

| Tumor type           | Cell line               | Drug               | Effect   | Reference                   |
|----------------------|-------------------------|--------------------|--|-----------------------------|
| Pancreatic carcinoma | Panc-1, BxPC-3, CFPAC-1 | ART                | Induction of oncosis, depolarization of mitochondrial membrane   | Du et al., 2010             |
| Breast cancer        | MCF-7                   | ART                | Induction of autophagy, inhibition of autophagosome turnover, perinuclear clustering of autophagosomes, early and late endosomes and lysosomes | Hamacher-Brady et al., 2011 |
| Leukemia             | K562                    | DHA                | Induction of autophagy, LC3-II↑  | Wang et al., 2012           |
| Gastric cancer       | PG 100                  | ARM                | Induction of necrosis  | Alcântara et al., 2013      |
| Gastric cancer       | SCG-7901, BCG-823, AGS  | ART                | Induction of oncosis, rather than apoptosis  | Zhou et al., 2013           |
| Schwannoma           | RT4                     | ART                | Induction of necroptosis   | Button et al., 2014         |
| Breast carcinoma     | MCF7, MDA-MB-231        | ART                | Induction of autophagy, beclin1↓, stimulation of LC3 stimulation, p21↑   | Chen et al., 2014           |
| Diverse              |                         | DHA                | Induction of autophagy, NF-κB↓   | Hu et al., 2014             |
| Pancreatic carcinoma |                         | DHA                | Induction of autophagy, beclin1↑, JNK pathway↑   | Jia et al., 2014            |
| Multiple myeloma     |                         | ART                | Induction of non-caspase apoptosis, depolarization of mitochondrial membrane, translocation of AIF and EndoG                                   | Papanikolaou et al., 2014   |
| Diverse              |                         | DHA                | Induction of autophagy by p8 endoplasmic reticulum stress-related ATF4 and CHOP↑   | Chen et al., 2015           |
| Pancreatic carcinoma | PDAC                    | ART                | Induction of ferroptosis   | Eling et al., 2015          |
| Diverse              | 60 NCI cell lines       | ART, ARS, ARE, ARM | Correlation of iron-regulating genes with IC <sub>50</sub> values of artemisinins  | Ooko et al., 2015           |

weapons in their armamentary to defend themselves from microbial attack or herbivores<sup>[187]</sup>. Mono-specific drugs gained interest in the past years in pharmacology to decrease unwanted side effects and potentially increase therapeutic effects on disease-related targets in human patients. In nature, mono-specific compounds may be inferior due to rapid resistance development – a phenomenon that is also well known in pharmacology and which represents a major obstacle in cancer and many infectious diseases.

Therefore, it is probable that artemisinins also act against cancer cells by multiple mechanisms. A number of publications provided evidence that artemisinins inhibit angiogenesis<sup>[50,51,59,72,161,165,170,177,188-198]</sup> (Table 8). This has been shown by using blood vessel endothelial cells (HUVEC), chicken eggs and the corioallantoic membrane (CAM) assay *in vivo* as well as animal models using matrigel plugs or xenograft tumors. The secretion of angiogenic factors (*e.g.* VEGF, KDR/flk-1, VEGFR2) by tumor cells is inhibited by ARS treatment.

ART not only inhibited the growth of HUVEC cells *in vitro*, but also angiogenesis and *in vivo* growth of a human Kaposi sarcoma xenograft, which had been established from a renal transplant patient with a Kaposi sarcoma lesion<sup>[51]</sup>. Furthermore, ART also strongly reduced angiogenesis *in vivo* regarding the vascularization of matrigel plugs subcutaneously injected into syngeneic mice<sup>[51]</sup>.

The mRNA expression data of 89 angiogenesis-related genes obtained by microarray hybridization from the NCI

database were compared with the log<sub>10</sub>IC<sub>50</sub> values for 8 artemisinins (ARS, ARE, ART, ARM, artemisetene, arteanuin B, dihydroartemisinylester stereoisomers 1 and 2). The constitutive expression of 30 genes correlated significantly with the cellular response to these compounds. By means of hierarchical cluster analysis and cluster image mapping expression, profiles were constructed that significantly determined the cellular response to ART, ARE, ARM and dihydroartemisinylester stereoisomer 1. The microarray data of six out of these 30 genes were exemplarily validated by real-time RT-PCR in seven cell lines. The fact that sensitivity and resistance of tumor cells could be predicted by the mRNA expression of angiogenesis-related genes. This strongly indicates that inhibition of angiogenesis represents an important mode of action of artemisinins in tumors.

To further investigate the anti-angiogenic potential of artemisinins, *in vivo* experiments were performed in a Zebrafish model and subjected the results to molecular docking and quantitative structure relationship (QSAR) analyses<sup>[199,200]</sup>. A statistically significant inverse relationship was obtained between *in silico* binding energies to vascular endothelial growth factor receptor 1 (VEGFR1) and angiogenic activity *in vivo*. This data set was used as control experiment to validate molecular docking to predict angiogenic activity. Then, 52 artemisinin derivatives were docked to VEGFR1, VEGFR2, and VEGFA. The best binding affinities were found for VEGFR1. Using a combined docking/QSAR approach, candidate compounds were identified for further analysis<sup>[200]</sup>.

**Table 8.** Inhibition of angiogenesis by artemisinins.

| Tumor type                            | Cell line                                       | Drug               | Effect  | Reference                  |
|---------------------------------------|---|--------------------|---|----------------------------|
| Endothelial cells                     | HUVEC   | ART                | migration in scratch assay↓, microvessel tube-like formation on collagen gel↓   | Chen et al., 2003          |
| Ovarian carcinoma,                    | HO-8910 ( <i>in vivo</i> )                      | ART                | blood vessel formation <i>in vivo</i> using the matrigel plug assay↓  | Dell-Eva et al., 2004      |
| Endothelial cells                     | HUVEC   | ART                | tumor growth↓, VEGF↓, KDR/flk-1↓,   | Chen et al., 2004a         |
| Endothelial cells                     | HUVEC   | ART                | tumor growth↓   | Chen et al., 2004a         |
|                                       |   | DHA                | VEGF-binding to its receptors↓, Flt-1↓, KDR/flk-1↓, neovascularization in chicken chorioallantoic membrane (CAM) assay↓ | Chen et al., 2004b         |
| Diverse                               | 60 NCI cell lines                               | ART                | neovascularization in CAM assay↓  | Huan-Huan et al., 2004     |
|                                       |   | ARS, ART, ARE etc. | mRNA expression of iron-related genes correlated with IC <sub>50</sub> values   | Anfosso et al., 2006       |
| Multiple myeloma                      | RPM18226  | DHA                | VEGF secretion↓, neovascularization in CAM assay↓   | Wu et al., 2006            |
| Leukemia                              | K562  | ART                | VEGF secretion <i>in vitro</i> and <i>in vivo</i> ↓   | Zhou et al., 2007          |
| Rat glioma                            | C6 ( <i>in vivo</i> )                           | ARM                | tumor growth↓, microvessel density↓   | Wu et al., 2009            |
| Multiple myeloma                      | RPM18226  | ART                | VEGF and Ang-1 secretion↓, neovascularization in CAM assay↓   | Chen et al., 2010          |
| Diverse                               | 55 NCI cell lines                               | ART                | mRNA expression of the angiogenesis promoting factor ITGB1 correlated with IC <sub>50</sub> values                      | Sertel et al., 2010        |
| Pancreatic carcinoma                  | BxPC-3-RFP                                      | DHA                | VEGF <i>in vivo</i> ↓   | Aung et al., 2011          |
| Murine Lewis lung carcinoma           | LLC   | DHA                | KDR/flk-1↓  | Zhou et al., 2010          |
| Endothelial cells, prostate carcinoma | HUVEC, BxPC-3                                   | DHA                | growth and tube formation↓, NF-κB binding↓, VEGF↓, IL8↓, COX2↓, MMP9↓, microvessel density <i>in vivo</i> ↓             | Wang et al., 2011          |
| Pancreatic carcinoma                  | PC-3M   | DHA                | VEGF↓   | Wang et al., 2012          |
| Endothelial cells                     | HUVEC   | DHA                | VEGFR2↓, nuclear translocation of NF-κB↓, IκBα↑   | Dong et al., 2014          |
| Hepatocellular carcinoma              | HepG3, BWTG3, Diethylnitrosamine-induced tumors | ART                | VEGF <i>in vitro</i> and <i>in vivo</i> ↓   | Vandewynckel et al., 2014  |
| Cervical carcinoma                    | ME-180  | ARS                | VEGF↓   | Mondal and Chatterji, 2015 |
| Endometrial cells                     | HUVEC   | DHA                | ERK1/2↓, ERK1/2 phosphorylation, FOS↓, MYC↓   | Dong et al., 2015          |

## INHIBITION OF SIGNALING PATHWAYS

There is also evidence that artemisinins influence tumor growth by inhibition of several signal transduction pathways [53,68,159,173,175,201-216] (Table 9). The Wnt/ $\beta$ -catenin pathway is affected by down-regulation of  $\beta$ -catenin, and translocation of  $\beta$ -catenin from the nucleus to the cell membrane. Artemisinins shut down EGFR signaling in epidermal tumor cells and BCR/ABL in leukemia cells. Furthermore, transcription factors such as mTOR, MYC/MYX, NF- $\kappa$ B, AP-1 (FOS/JUN), CREB and others are inhibited by ARS-type compounds.

Importantly, artemisinins inhibit cell invasion, migration and metastasis. Major metastatic regulators such as ubiquitous plasminogen activator (u-PA) and metalloproteinases (MMPs) were downregulated by ART. This drug inhibited the expression of MMP-2 and MMP-7 mRNA/protein in lung cancer cells. In luciferase reporter assays, ART down-regulated MMP-2-, MMP-7- and u-PA-promoter/-enhancer activity, in parallel to AP-1- and NF- $\kappa$ B-transactivation [211]. In breast cancer cells, ART inhibited the transcription, expression and activity of MMP-1 [60].

Another interesting target of artemisinins is the translationally controlled tumor protein (TCTP). It has first been reported in *Plasmodia* that ARS binds to this protein [217,218]. TCTP is linked to cellular growth control ubiquitously expressed in all eukaryotic organisms from protozoa such as *Plasmodium* to plants and mammals [219].

The interaction of *Plasmodium falciparum* TCTP (PfTCTP) with ARS was also reported [220]. The crystal structure of PfTCTP was determined by cloning and expression of the PfTCTP gene. Using mass spectrometry, bioinformatic approaches and surface plasmon resonance spectroscopy, novel binding sites of ARS were identified, which are in direct neighborhood to amino acids 19–46, 108–134 and 140–163. The regions covered by these residues are known to be functionally important for TCTP function.

As the name implies, TCTP also plays a role in tumor cells. TCTP is involved regulating cell cycle transition, apoptosis, calcium homeostasis, and cytoskeleton, and interestingly enough, in tumor reversion. This phenomenon is characterized by the inhibition or loss of key events that are necessary for tumor transformation. As a result, tumor cells revert to normal cells [221,222].

Recently, a novel approach to identify ARS-interacting target proteins in cancer cells was presented [223]. Our approach overcomes usual problems in traditional fishing procedures, because the drug was attached to a surface without further chemical modification. The proteins identified effect among others, cell cycle arrest, apoptosis, inhibition of angiogenesis, disruption of cell migration, and modulation of nuclear receptor responsiveness. Furthermore, a bioinformatic approach confirmed experimentally identified proteins and suggested a large number of other interacting proteins. Among the identified proteins was also TCTP.

Inhibition of TCTP by artemisinins opens the possibility that ARS-type drugs inhibit tumor growth not only by induction of apoptosis or other forms of cell death, but also by the induction of cellular differentiation and tumor

reversion. Differentiation therapy represents an attractive treatment strategy, as it is not associated with the typical, severe side effects of clinically established cytotoxic chemotherapy. All-trans-retinoic acids are examples for the potential of differentiation or tumor reversion therapy for acute promyelocytic leukemia [224,225]. Whether artemisinins represent another class of drugs suitable for differentiation and tumor reversion therapy, deserves further investigation.

## PERSPECTIVES: CLINICAL ACTIVITY IN CANCER PATIENTS

A plethora of results acquired during the past two decades shed light on the anticancer activity *in vitro* and *in vivo* and the molecular modes of action of artemisinins. Several research teams in Europe, Asia and America confirmed the inhibitory effects of artemisinins against tumors under experimental conditions. We feel that the time has come now to translate these promising data from the preclinics to the clinics. This is a specifically burning question, since we know from malaria treatment that artemisinins are well tolerable and that the toxicity of these compounds are rather modest and are much less than those known from standard anticancer drugs [226]. The entire toxicological assessment of ARS-type drugs that have been done in the context of development of these drugs as antimalarials may be used as basis for their investigation as anticancer drugs. This beneficial circumstance may speed up the further clinical development of artemisinins as anticancer drugs.

### Veterinary tumors

In this context, it is of interest that cancer is not only a problem for human health, but that other mammals also spontaneously develop tumors. This is of practical relevance in veterinary medicine. Pets like dogs or cats suffering from tumors are treated with surgery, radiotherapy, or chemotherapy in a somehow comparable manner as human patients too. This circumstance offers the exciting opportunity to study the anticancer activity of artemisinins under clinical conditions in pets.

A safety/efficacy field study with ART was conducted in 23 dogs with non-resectable tumors [227]. ART was administered for 7-385 days at a dosage of 651-1178 (median 922) mg/m<sup>2</sup>. No neurological or cardiac toxicity was observed and 7 dogs exhibited no adverse effects at all. Fever and haematological/gastrointestinal toxicity, mostly transient, occurred in 16 dogs. One dog died from pneumonia. Plasma ART and DHA levels fell below the limit of detection within 8-12 h after artesunate administration, while levels after two hours were close to 1  $\mu$ M. Artesunate produced a long-lasting complete remission in one case of cancer and short-term stabilization of another seven cases.

Recently, the use of capsules containing powder of *Herba Artemisiae annuae* was reported to treat pet sarcoma [228]. The surgical tumor removal as standard treatment was supplemented by adjuvant therapy with *A. annua*. One cat and one dog with fibrosarcoma survived 40 and 37 months,

Table 9. Effect of artemisinins on signaling pathways in tumor cells.

| Tumor type                                      | Cell line                               | Drug               | Effect  | Reference               |
|---|---|--------------------|---|-------------------------|
| <b>Wnt/<math>\beta</math>-catenin pathway:</b>  |   |                    |   |                         |
| Colorectal carcinoma                            | HT-29                                   | ART                | Translocation of $\beta$ -catenin from nucleus to adherent junctions of membrane, $\beta$ -catenin-mediated transcription $\downarrow$ , hyperactive Wnt/ $\beta$ -catenin signaling pathway $\downarrow$   | Li et al., 2007         |
| Colorectal carcinoma                            | HT-29                                   | ART                | Membraneous translocation of $\beta$ -catenin, E-cadherin $\uparrow$ , reversion of EMT   | Li et al., 2008         |
| Mouse normal macrophages                        | RAW 264.7                               | ART                | Involvement of cAMP-mediated and Wnt/ $\beta$ -catenin signaling pathways.  | Konkimalla et al., 2008 |
| Osteosarcoma                                    |   | DHA                | $\beta$ -Catenin $\downarrow$ because of increased catalytic activity of GSK3 $\beta$ , Wnt/ $\beta$ -catenin signaling $\downarrow$  | Liu et al., 2013        |
| <b>Receptor signaling:</b>                      |   |                    |   |                         |
| Diverse   | 55 NCI cell lines, transfected cells    | ART                | mRNA expression of EGFR and EGFR-downstream genes correlated with IC <sub>50</sub> values. Cell lines transfected with EGFR downstream genes were more sensitive to ART than wild-type cells. Inhibition of the EGFR-RAS-Raf-MEK-ERK pathway.   | Konkimalla et al., 2009 |
| Leukemia  | K562                                    | DHA                | BCR/ABL $\downarrow$ , downstream signal transducers (AKT and ERK1/2 tyrosine kinase activity $\downarrow$ , NF- $\kappa$ B protein expression $\downarrow$ )   | Lee et al., 2012; 2013  |
|   |   | ARS, ART, DHA etc. | A network pharmacology approach revealed five major pathways: PI3K/AKT, T cell receptor, Toll-like receptor, TGF- $\beta$ and insulin signaling pathways  | Huang et al., 2013      |
| <b>mTOR pathway:</b>                            |   |                    |   |                         |
| Neuroblastoma                                   | SHSY5Y                                  | ARS                | AMP kinase signaling $\uparrow$ , mTOR/p70S6K/p S6 signaling $\downarrow$   | Tan et al., 2013        |
| Rhabdomyosarcoma                                | Rh30, RD                                | DHA                | mTOR signaling pathways $\downarrow$  | Odaka et al., 2014      |
| <b>Transcription factors:</b>                   |   |                    |   |                         |
| Diverse   | 60 NCI cell lines                       | ART                | Promoter binding motif analyses of differentially expressed genes identified MYC/MAX as transcriptional regulators  | Sertel et al., 2010     |
| Macrophages                                     | RAW 264.7                               | DHA                | PMAA-induced COX-2-expression $\downarrow$ and PGE2 production $\downarrow$ , PMAA-induced NF- $\kappa$ Bp65 $\downarrow$ , C/EBP $\beta$ $\downarrow$ , c-JUN $\downarrow$ and CREB nuclear translocation $\downarrow$ . PMAA-induced phosphorylation of AKT1 and MAP kinases (ERK, JNK, p38) $\downarrow$ | Kim et al., 2013        |
| <b>Metastatic signaling:</b>                    |   |                    |   |                         |
| Ovarian carcinoma                               | SKOV3, OVCAR3                           | DHA                | FAK1, MMP2 $\downarrow$ , TIMP1 $\downarrow$ , TIMP2 $\downarrow$   | Tan et al., 2011        |
| Fibrosarcoma                                    | HT-1080                                 | DHA                | Cell invasion and migration $\downarrow$ , MMP-9 $\downarrow$ , MMP-2 $\downarrow$ . Inhibition of MMP-9 expression by NF- $\kappa$ B, inhibition of MMP-2 by MT1-MMP. No effect on TIMP-1 and TIMP-2   | Hwang et al., 2010      |
| Lung cancer (NSCLC)                             | H1395, A549, LXF289, H460, Calu3, H1299 | ART                | u-Pa $\downarrow$ , MMP-2 $\downarrow$ , MMP-7 $\downarrow$ , AP-1 $\downarrow$ , NF- $\kappa$ B $\downarrow$   | Rasheed et al., 2010    |
| <b>Translationaly Controlled Tumor Protein:</b> |   |                    |   |                         |
| Lung cancer                                     | A549                                    | DHA                | Binding to fortilin/TCTP, ubiquitination $\downarrow$ , proteasome-dependent shortening of TCTP half-life. TCTP-knock down cells were DHA-resistant, TCTP-transfected cells were more DHA-sensitive   | Fujita et al., 2008     |
| Neurofibromatosis type 1 (NF1)                  | NF1-deficient Schwann cells, MPNST      | ART                | TCTP mRNA $\uparrow$ , but TCTP protein $\downarrow$ . Increased TCTP protein secretion   | Liu et al., 2014        |
|   |   |                    | Binding and degradation of TCTP, MPNST $\downarrow$ , but not normal Schwann cells. TCTP level inversely correlated with ART sensitivity  | Kobayashi et al., 2014  |
| <b>Other mechanisms:</b>                        |   |                    |   |                         |
| Mouse normal macrophages                        | RAW 264.7                               | ART                | NO $\downarrow$   | Konkimalla et al., 2008 |
| Hepatocellular carcinoma                        | HepG2                                   | ART                | NO $\downarrow$ , heme-harboring NOS $\downarrow$   | Zeng and Zhang, 2011    |
| Pancreatic carcinoma                            | MiaPaCa-2, BxPC-3 T-cells               | ART                | TOPO2A $\downarrow$   | Youns et al., 2009      |
|   |   | DHA                | Th cell differentiation $\downarrow$ , TGF- $\beta$ /Smad-dependent Treg generation $\uparrow$ , mTOR pathway $\downarrow$ .  | Zhao et al., 2012       |



respectively, without tumor relapse. Two other dogs suffering from fibrosarcoma and hemangioendothelial sarcoma also showed complete remission and were still alive after 39 and 26 months, respectively. Fibrosarcoma and hemangioendothelial sarcoma are tumor types, which are primarily treated by surgical removal and survival times for dogs with fibrosarcoma treated with standard surgery are usually in a range from 7 to 12.2 months. Our results are remarkable, since the add-on therapy with *A. annua* capsules prolonged the survival times of the animals. *A. annua* was well tolerated without noticeable side effects. These four cases indicate that *A. annua* may be a promising herbal drug for cancer therapy. Interestingly, ARS is not the only cytotoxic compound in *A. annua*, and several other constituents are also cytotoxic towards cancer cells<sup>[229]</sup>. The plant extract may therefore be considered as “natural combination therapy”, which might be even more beneficial for cancer therapy than treatment with isolated ARS alone.

### Case reports of human cancer patients

Three patients treated with artemisinins responded well<sup>[230]</sup>. A 47-year-old female with breast cancer (stage 4) and metastases in her spine took ARS and showed tumor regression in computer tomography. Similar experiences were made in another breast cancer patient. A 47-year-old female suffering from terminal liver cancer and abdominal ascites took ARS and was still alive 2.5 years later<sup>[230]</sup>. Another case report has been published on the treatment of a laryngeal squamous cell carcinoma with ART<sup>[231]</sup>.

Two patients with uveal melanoma were treated on a compassionate basis after standard chemotherapy was ineffective<sup>[232]</sup>. ART was well tolerated in both patients. One patient received fotemustine plus ART, which results in a temporary response, while the disease was progressing under prior fotemustine therapy alone. This patient died 23 months after entry in stage 4 disease. The second patient experienced a disease stabilization after application of dacarbazine and ART. Later on, the disease progressed with metastases in lung and spleen. This patient was alive at the time point of publication of this case report, which was 47 months after first diagnosis. The results of both treatment attempts with ART are remarkable in light that the median survival of uveal melanoma is two to five months.

Recently, longitudinal observations on the efficacy of *A. annua* in a prostate carcinoma patient were published<sup>[233]</sup>. The patient with prostate carcinoma (pT3bN1M1, Gleason score 8 (4+4)) staged by imaging techniques (MRT, scintigraphy, SPECT/CT) presented with a prostate specific antigen (PSA) blood level of >800 µg/L. After short-term treatment with bicalitumide (50 mg/d for 14 days) and long-term oral treatment with *A. annua* capsules (continuously 5 × 50 mg/d), the PSA level dropped down to 0.98 µg/L. MRT, scintigraphy and SPECT/CT verified tumor remission. Seven months later, blood PSA and ostease levels increased, indicating tumor recurrence and skeletal metastases. Substituting *A. annua* capsules by artesunate injections (2×150 mg twice weekly *i.v.*) did not prohibit tumor recurrence. PSA and

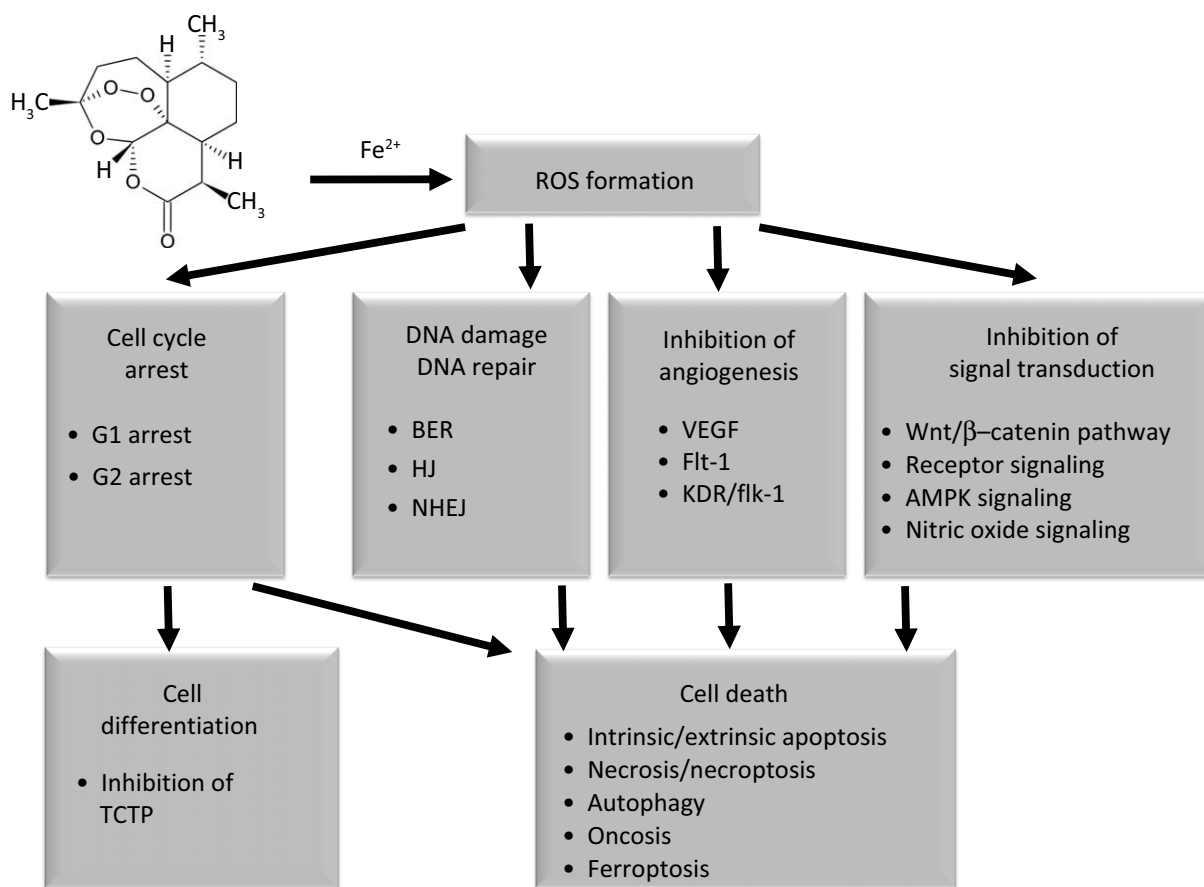
ostease levels rose to 1245 µg/L and 434 U/L, respectively, and MRT revealed progressive skeletal metastases, indicating that the tumor acquired resistance. The high expression of MYC, TFR, and VEGFC in the patient biopsy as determined by immunohistochemistry corresponded with high expression of these markers in the ARS-sensitive PC-3 cells compared to ARS-resistant DU-145 cells. In conclusion, long-term treatment with *A. annua* capsules combined with short-term bicalitumide treatment resulted in considerable regression of advanced metastasized prostate carcinoma.

### Clinical trials

Recently, ART and DHA pharmacokinetics have been characterized in patients with metastatic breast cancer during long-term (>3weeks) daily oral ART administration<sup>[234]</sup>. Twenty-three patients received ART orally (100, 150, or 200 mg OD). Pharmacokinetics of ART and DHA were well described by a combined drug metabolite model without any covariates and with an increase in apparent elimination clearance of DHA over time. The estimated DHA saliva/plasma ratio was in good agreement with the reported DHA unbound fraction in human plasma. Saliva ARS concentrations correlated poorly with plasma concentrations. This suggests the use of saliva sampling for therapeutic drug monitoring of DHA. Response to ART treatment or survival times were not recorded in this study.

As the binding affinity of artemisinin and its derivatives dihydroartemisinin and artesunate to blood serum proteins might influence the effectiveness of the drug, the binding of ARS and derivatives to serum albumin has been studied under near physiological conditions<sup>[235]</sup>. Binding kinetics indicate a simple, single-step association process for all ARS derivatives. The determined changes in enthalpy and entropy upon drug binding clearly indicate that hydrophobic forces are most important for ARS and DHA binding, whereas binding of ART is governed by both hydrophilic and hydrophobic forces. Key residues, which are most likely involved in binding of the respective compounds, were identified in subsequent protein/drug docking studies. The obtained results not only explain differences in between artemisinin and derivatives but generally illustrate how slight modifications in a drug can significantly affect principles underlying drug binding to target proteins. This result may be important for the performance of clinical trials with artemisinins for cancer therapy.

The efficacy and toxicity of the standard combination therapy of vinorelbine and cisplatin with or without ART artesunate has been compared in the treatment of advanced non-small cell lung cancer<sup>[236]</sup>. Each treatment group consisted of 60 patients. ART was applied as *i.v.* injection at a concentration of 120 mg from the 1<sup>st</sup> to the 8<sup>th</sup> day. At least two treatment cycles were performed. There were no significant differences in the short-term survival rate, mean survival time and 1-year survival rate between the trial group and the control group. The disease control rate of the trial group (88.2%) was significantly higher than that of the control group (72.7%) and the time to progression of the



**Figure 1.** Synopsis of mechanisms of artemisinins in cancer cells.

ART-treated patients (24 weeks) was significantly longer than that of the control group (20 weeks). No significant difference was found in toxicity between these two groups. The authors concluded that ART in combination with standard chemotherapy elevated the short-term survival rate and prolonged the time to progression of patients with advanced non-small cell lung cancer without extra side effects.

Ten cervical carcinoma patients (stage III or IV) were treated with DHA for 28 days<sup>[237]</sup>. Clinical symptoms such as vaginal discharge and pain disappeared within three weeks in all patients with a median time of 7 days. Adverse events included headache and abdominal pain. No adverse events of grade 3 or 4 occurred. The immunohistochemical evaluation of tumor biopsies revealed that the expression of the tumor suppressor p53, the oncogene EGFR, and Ki-67 as nuclear proliferation marker, as well as the number of CD31-positively stained blood vessels stained decreased. On the other hand, the expression of transferrin receptor increased. Six patients experienced clinical relapse at an average of six months (range four to 8 months). Two patients died after 6-7 months remission. Four patients with relapse were treated a second time with DHA for 28 days, which resulted in clinical remission. Two of these patients subsequently died, 12-13 months after their first treatment cycle of DHA. Both of these

patients died of renal insufficiency. The two other patients, who received the second treatment cycle as well as four patients, whose tumors did not relapse at the time point of publication of this study (median time of 9 months, range 2-24 months after first DHA treatment). The usual survival time prognosis of patients with metastasized cervical carcinoma at the Cancer Services, University Hospital (Treichville, Ivory Coast) is about four months. This prognosis compares to other hospitals in Africa, e.g. gynecological centers in Kigali, Rwanda and Nairobi, Kenya. It is remarkable that the median survival time of the four patients, who died during our study was 12 months (range 8 to 13 months). This phase I/II pilot study indicates on the clinical activity of DHA regarding improvement of the clinical symptoms and good tolerability of DHA in patients with advanced carcinoma of the cervix uteri.

A single center, randomized, double blind, placebo-controlled trial has been recently published on the use of ART in 23 colorectal carcinoma patients<sup>[238]</sup>. Patients received pre-operatively either 14 daily doses of oral ART (200 mg; n = 12) or placebo (n = 11). The primary outcome measure was the proportion of tumor cells undergoing apoptosis (significant, if >7% showed TUNEL staining). Secondary immunohistochemical outcomes assessed these tumor markers: VEGF, EGFR, c-MYC, CD31, Ki67 and p53, and clinical

responses. Twenty patients (ART = 9, placebo = 11) completed the trial per protocol. Randomization groups were comparable clinically and for tumor characteristics. Apoptosis in >7% of cells was seen in 67% and 55% of patients in ART and placebo groups, respectively. Using Bayesian analysis, the probabilities of ART treatment effect reducing Ki67 and increasing CD31 expression were 0.89 and 0.79, respectively. During a median follow up of 42 months, one patient in the ART and six patients in the placebo group developed recurrent tumors. It can be concluded that ART had anti-proliferative properties in colorectal carcinoma was generally well tolerated.

In conclusion, there is ample evidence for the activity of artemisinin and its derivatives against tumors. Artemisinin-type drugs exert multi-factorial cellular and molecular actions in cancer cells (**Figure 1**). Ferrous-iron mediated ROS formation contribute to the anticancer effects of artemisinins. Artemisinin-type drugs exert their cytotoxicity towards cancer cells by multiple mechanisms, which is a quite typical feature for many natural products. Artemisinins bear the potential to be used for veterinary and human cancer patients. Therefore, the clinical activity warrants further investigation in larger scale Phase II and III clinical trials.

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